



EMORY
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The Halle Institute
for Global Research

Emory University and University of St Andrews Collaborative Research Grants – 2021 Guidelines for Applicants

Purpose

This **Collaborative Research Grant**, offered by Emory University's **Halle Institute for Global Research and University of St Andrews**, fosters international research broadly. Applicants from all schools and disciplines are encouraged to submit funding applications for innovative and sustainable projects built around collaborative research linking faculty from University of St Andrews and Emory University. The research may take place in any country as long as it is done collaboratively. The expectation is that these initial projects will lead to longer-term collaborations, institutional and research relations, and when applicable, generate publications and/or external funding for ongoing collaboration. Applications that include students and/or collaborations across schools, disciplines and units are encouraged but not required.

In 2021, one application will be funded (with two principal investigators: one from University of St Andrews and one from Emory University). Each application will receive up to GBP10,000 and USD13,000 from their respective institution. These funds must be used for research (broadly defined) and must follow the budget guidelines below.

Please note that due to COVID-19, all grant-related travel must adhere to Emory University and University of St Andrews policies, as well as local restrictions, border controls and health procedures.

Application Eligibility

Applications are welcome once per year within the deadline specified below. Principal investigators on a project must be regular, full-time faculty at Emory and St Andrews respectively. Questions about partner eligibility should be directed to the respective institution. Past Emory awardees may apply for Halle Institute funding no sooner than two years from the end date of their previous Halle-funded project.

Projects may also include the participation of self-funded external investigators or partner institutions where relevant.

The due date for 2021 applications is January 22, 2021.

Guidelines for budgets

The budget for each project should not exceed the maximum amount of up to GBP10,000 and USD13,000. The maximum allowable expenses for airfares, accommodation and meals/per diems should correspond to the guidelines of the University of St Andrews and Emory University's Halle Institute for Global Research. Any activities not addressed below are subject to the rules and regulations of the University of St Andrews and Emory University.

Collaborative Research Grants are intended to support global research-related mobility for Emory University and University of St Andrews faculty and students. Up to 20% of the budget may be used (with justification as per below) for research support other than expenses related to travel:

Allowable expenses:

- travel expenses (e.g., economy airfare, local transportation)
- appropriate per diems for faculty, post-docs, or full-time enrolled students
- *no more than 10% of the total award for the costs for hosting a seminar, conference, or workshop*
- *no more than 10% of the total award for consumables (including publication/printing costs) and/or hourly wages for full-time enrolled students*

Non-allowable expenses:

- salaries or salary supplementation of any kind
- indirect and overhead costs
- course releases
- stipends or scholarships for students (tuition support purposes)
- course fees for international students
- equipment
- computers, including laptops, (excluding access to high-performance computers or other specialized applications that are justified within the project proposal) and basic computing facilities such as printers, word processing and other standard software

Duration and Funding Limits

Project timelines should be clearly outlined and may not exceed 24 months from the project start date. The maximum amount that applicants can apply for one project is up to GBP10,000 and USD13,000.

Application Submission

Each proposal must include the following items in the order listed below as **ONE** single PDF. Proposals will be reviewed by selection committee members from multiple disciplines and must be written for such an audience. The same proposal and budget for each application must be sent to Emory University and to the University of St Andrews:

1. Names, titles, departments/schools and emails of Emory University and the University of St Andrews PI's
2. Layperson abstract of the proposed project (up to 250 words)

3. Names of other Emory University researchers involved, including name, title, department, role in project
4. Names of other University of St Andrews researchers involved, including name, title, school, role in project
5. Narrative description of the proposed project for a multi-school, multi-disciplinary audience using the following sub-headings (three-page limit, 12 font, single spaced)
 - i. Project objectives
 - ii. Background and previous work as appropriate
 - iii. Research approaches and methods
 - iv. Specific outputs expected (include, for example, publications, workshops, meetings, conferences, and visits)
 - v. Explanation of how you will evaluate the success of your project
 - vi. Anticipated schedule for completion
6. Brief description of how project will address the following criteria using the following subheadings (see “Assessment” below):
 - i. Academic quality (maximum 100 words)
 - ii. Innovation (maximum 100 words)
 - iii. Outcomes and sustainability (maximum 100 words)
 - iv. Partnership (maximum 100 words)
 - v. For University of St Andrews only: Strategic relevance and legacy (maximum 100 words)
7. Budget and budget justification, explaining in detail all anticipated expenditures
 - i. Description of other funds secured or applied for overlapping projects
8. Brief letter from the Department Chair/Head of School endorsing the application
9. Curriculum vitae: 2-page maximum, current, for each PI
10. Curriculum vitae: 1-page maximum, current, for additional team members
11. For Emory University faculty only, please indicate if Halle Institute funding has been received in the past and if so, provide the name and date of the award and indicate whether an end-of-project report was submitted.

Applicants should start preparing their applications well ahead of the closing date to enable time for seeking endorsement from the department chair or equivalent at Emory and the Head of School at St Andrews. Completed applications should be submitted via email to halle.global@emory.edu and partnerships@st-andrews.ac.uk.

Applications will be evaluated by a multi-disciplinary, multi-school faculty selection committee at Emory University and by a selection committee comprised of senior academic and professional staff at the University of St Andrews.

Applicants will be advised of the outcome of their application in May 2021.

Assessment

The selection committees will assess, comment on and evaluate each application, based on the criteria below. Applicants must outline the aims, methods and expected outcomes of their project and should ensure that they address all criteria.

Applications for projects that align with the following St Andrews' interdisciplinary research priorities are welcome: Peace, Conflict and Security; Cultural Understanding; Evolution, Behaviour and Environment; Materials for the Modern World; Health, Infectious Disease and Well-being; Sustainability.

Each application will be evaluated on the following criteria:

- **Academic quality:** the academic quality of the proposed work, the contribution to original research, the ability to cut across disciplines, and/or if appropriate, the inclusion of students.
- **Innovation:** the extent to which the project will contribute to novel thinking and creative outcomes.
- **Outcomes and Sustainability:** the likelihood that the project will lead to long-term research relationships and generate on-going collaboration. Expected outcomes may include among other possibilities: publications, exhibitions, grant proposals, clinical interventions, technological innovations, enhanced networks and/or research-based seminar/conference/workshops.
- **Partnership:** how the research partnership is anticipated to continue after the CRG funding ends and/or how the research will be shared with broader academic communities at each institution.
- **Strategic relevance and Legacy (for University of St Andrews only):** the contribution to realising University strategies the expected changes beyond academia and the discipline, and how the work may gain wide recognition or have a legacy once completed.

Results

Successful applicants will receive a letter of confirmation from their home institution and will receive an Acceptance of Award letter which will need to be signed and returned by the due date to the Halle Institute for Global Research at Emory and the Global Office at the University of St Andrews.

Payment

Successful applicants will be awarded up to GBP10,000 and USD13,000 by their own institutions. Awardees will be responsible for managing the project funds and for facilitating the sharing of funds with their partner principal investigator where required. Emory University

awardees must provide a new speedtype set up for the project for the transfer of internal funds.

Upon completion of award documents, awardees will receive the funds by approximately May 2021. It is the responsibility of the applicant to liaise directly with their finance department regarding the receipt of funds.

Change of Investigator/Industry Partner, etc.

If circumstances change – for example, the principal investigator or a team member leaves the project– awardees must provide notification to the Halle Institute for Global Research at Emory and the Global Office at the University of St Andrews. All changes will need to be approved in writing.

Extensions

Under exceptional circumstances, no-cost extensions may be approved for a maximum period of 6 months (with possibly longer extensions in very exceptional circumstances, like COVID-19). Awardees seeking an extension must submit a formal written request at least one month before the end of the grant, along with a revised budget and timeline of activities to the Halle Institute for Global Research at Emory and the Global Office at the University of St Andrews for approval. All extensions must be approved in writing.

Publications

Any publications (e.g., abstracts, articles) or dissemination (e.g., public presentations) arising from activities supported by the grant should acknowledge financial assistance received from the Emory University Halle Institute for Global Research and the University of St Andrews. Copies of publications or presentations or notification of presentations should be submitted to both institutions. The name for the said acknowledgment is “Collaborative Research Grant funding provided by the Halle Institute for Global Research at Emory University and the University of St Andrews.”

Forfeiture of grants

Grants not spent by the due date will be transferred back either to St Andrews or to Emory as applicable so that unspent balances can be re-allocated.

Financial and Reporting Obligations

Recipients will be required to submit a final report within one month of completion of the project. The report should include an outline of the outcomes of the project, an indication of the project’s sustainability, a summary of lessons learned in undertaking the project and a detailed accounting of funds as required by each university’s policy. Recipients who fail to submit a final report will not be permitted to apply for future support from the Halle Institute for Global Research. Emory awardees may apply for Halle Institute funding no sooner than two years from the end date of the 2020-21 project.

Complete applications must be received by the deadline of January 22, 2021. No extensions will be granted.

Further information

For further information, please contact Kelly Richmond Yates, Associate Director, Halle Institute for Global Research at Emory University, halle.global@emory.edu. At St Andrews, please contact Harriet Sheridan, Partnerships Officer in the Global Office, partnerships@st-andrews.ac.uk.

October 20, 2020